

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jun DU et al.

Group Art Unit : 1754

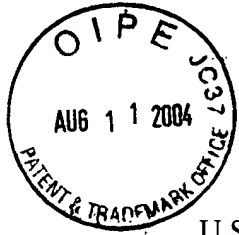
Appl. No. : 10/811,865

Examiner : Not Yet Assigned

Filed : March 30, 2004

Confirmation No. : 3798

For : RARE EARTH ZEOLITE Y AND THE PREPARATION PROCESS  
THEREOF



INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office  
220 20th Street S.  
Customer Window, Mail Stop \_\_\_\_\_  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Sir :

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, Applicants hereby call to the Examiner's attention the following documents which were cited during the prosecution of parent U.S. Patent Application No. 10/132,911, which has published as U.S. Patent Application Publication No. 2003/0064881 on April 3, 2003:

- (1) U.S. Patent No. 5,646,082 to TAN-NO et al., which issued on July 8, 1997;
- (2) U.S. Patent No. 5,535,817 to DUNNE, which issued on July 16, 1996;
- (3) U.S. Patent No. 5,145,817 to SHERROD, which issued on September 8, 1992;
- (4) U.S. Patent No. 4,711,770 to SKEELS et al., which issued on December 8, 1987;
- (5) U.S. Patent No. 4,429,053 to WARD, which issued on January 31, 1984;

(6) U.S. Patent No. 3,595,611 to McDANIEL et al., which issued on July 27, 1971;

(7) U.S. Patent No. 4,192,778 to ALAFANDI et al., which issued on March 11, 1980;

(8) U.S. Patent No. 4,218,307 to McDANIEL, which issued on August 19, 1980;

(9) European Patent Application Publication No. EP 0 139 092, which was published on May 2, 1985;

(10) Japanese Laid-Open Patent Publication No. HEI 2-20562, which was published on January 24, 1990, together with an English language Abstract of the same; and

(11) Chinese Patent Application Publication No. CN 1057977, which was published on January 22, 1992, together with an English language Abstract of the same.

Applicants note that copies of documents (1)-(11) are not being provided herewith, as they were already cited during the prosecution of the parent application, and thus copies are not required pursuant to 37 C.F.R. §1.98 (d)(i).

Applicants also bring the following documents to the Examiner's attention:

(12) U.S. Patent No. 3,384,572 to MYERS et al., which issued on May 21, 1968.

Applicants note that this document is cited on page 1 of the specification of the present application;

(13) U.S. Patent No. 3,506,440 to SUGIMOTO, which issued on April 14, 1970.

Applicants note that this document is cited on page 1 of the specification of the present application;

(14) U.S. Patent No. 3,293,192 to MAHER et al., which issued on December 20, 1966. Applicants note that this document is cited on page 2 of the specification of the present application;

(15) C.V. McDANIEL et al., Zeolite Chemistry and Catalysis, ACS Monograph 171, 1976, pp. 285-331. Applicants note that this document is cited on page 2 of the specification of the present application; and

(16) Chinese Patent Application Publication No. CN 1127161, which was published on July 24, 1996, together with an English language Abstract of the same. Applicants note that this document is cited on page 5 of the specification of the present application.

Further to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(i), copies of the U.S. patents (12)-(14) are not enclosed herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.


Applicants respectfully request that the Examiner consider the above material and cite the same. Copies of documents (15) and (16) are attached hereto and all of the documents have been listed on the attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached Form and to return a copy of the completed Form to the Applicants with the next official communication in the present application.

Applicants note that an Office Action on the merits has not issued in the present application, and thus no fee is believed necessary to ensure consideration of the submitted material. However, if an Office Action on the merits has issued and is crossing this

statement in the mail, the undersigned hereby authorizes the Commissioner to charge any fee necessary for the consideration of this statement, including any payment under 37 C.F.R. §1.17 (p) to Deposit Account No. 19-0089.

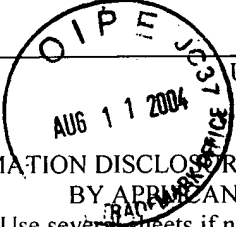
Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully Submitted,  
Jun DU et al.

  
Hong J. XU  
Reg. No. 46,415

August 9, 2004  
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FORM PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
P25126Application No.  
10/811,865


INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT  
(Use several sheets if necessary)

Applicant  
Jun DU et al.Filing Date  
March 30, 2004Group  
1754

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 6 4 6 0 8 2	07/08/97	TAN-NO et al.			
	5 5 3 5 8 1 7	07/16/96	DUNNE			
	5 1 4 5 8 1 7	09/08/92	SHERROD			
	4 7 1 1 7 7 0	12/08/87	SKEELS et al.			
	4 4 2 9 0 5 3	01/31/84	WARD			
	3 5 9 5 6 1 1	07/27/71	McDANIEL et al.			
	4 1 9 2 7 7 8	03/11/80	ALAFANDI et al.			
	4 2 1 8 3 0 7	08/19/80	McDANIEL			
	3 3 8 4 5 7 2	05/21/68	MYERS et al.			
	3 5 0 6 4 4 0	04/14/70	SUGIMOTO			
	3 2 9 3 1 9 2	12/20/66	MAHER et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
0 1 3 9 0 9 2	05/02/85	E.P.O.			
2 - 2 0 5 6 2	01/24/92	JAPAN			
1 0 5 7 9 7 7	01/22/92	CHINA			
1 1 2 7 1 6 1	07/24/96	CHINA			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

1	English Language Abstract of JP 2-20562, published January 24, 1990.
2	English Language Abstract of CN 1057977, published January 22, 1992.
3	English Language Abstract of CN 1127161, published July 24, 1996.
4	C.V. McDANIEL et al., Zeolite Chemistry and Catalysis, ACS Monograph 171, 1976, pp. 285-331.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.